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Marlene Klein (949) 253-2731  
Patents, Trademarks & Copyrights  
Internet: mklein@swlaw.com

April 14, 2000

Assistant Commissioner for Patents  
Washington DC 20231-0001

Re: Applicant: PETIT, Frederic  
Serial No.: 09/522,628  
Filed: 03/10/2000  
Title: METHODS AND APPARATUS FOR AUTHENTICATING  
THE DOWNLOAD OF INFORMATION ONTO A SMART  
CARD  
Docket No.: 10655.7500

Dear Sir:

Enclosed please find the Power of Attorney document for the above-entitled application.  
The documents transmitted herewith are:

1. Transmittal Letter;
2. Power of Attorney; and
3. Courtesy postcard.

Very truly yours,

SNELL & WILMER

Marlene Klein

MK:jl  
Enclosures



**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
**10655.7500**

In Re Application Of: **PETIT, Frederic**

Serial No.  
**09/522,628**

Filing Date  
**3/10/00**

Examiner

Group Art Unit

Title: **METHODS AND APPARATUS FOR AUTHENTICATING THE DOWNLOAD OF INFORMATION ONTO A SMART CARD**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

- 1) Cover letter;
- 2) Power of Attorney; and
- 3) Courtesy postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-2814** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: **April 14, 2000**

I certify that this document and fee is being deposited  
on **April 14, 2000** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

**Janette Lowe**

Typed or Printed Name of Person Mailing Correspondence

CC:

Applicant(s):  
Serial No.:  
Filed:  
TITLE:

PETIT, Frederic

Atty Docket No.: 10655.7500

Group Art Unit:  
Examiner:

METHODS AND APPARATUS FOR  
AUTHENTICATING THE  
DOWNLOAD OF INFORMATION  
ONTO A SMART CARD



**POWER OF ATTORNEY BY ASSIGNEE  
AND CERTIFICATE UNDER 37 CFR 3.73(b)**

AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC. (hereinafter "Assignee"), a corporation having a place of business at New York, New York, certifies that to the best of Assignee's knowledge and belief, it is the assignee of the entire right, title, and interest in and to the above-captioned United States patent application and represents that the undersigned is a representative authorized and empowered to sign on behalf of the Assignee.

Ownership of the above-identified patent application is established by virtue of the following documentary evidence that has been reviewed by the undersigned:

An assignment from the inventor(s) of the patent application identified above. A copy thereof is attached.

AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC., the Assignee of the entire right, title, and interest in and to the above-captioned United States patent application and all inventions disclosed and claimed therein, hereby appoints as its attorneys to prosecute the above-captioned United States patent application and to transact all business in the United States Patent and Trademark Office connected therewith and with the resulting patent, individually and collectively

**SNELL & WILMER L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-0001  
Tel. (602) 382-6000  
Fax (602) 382-6070;

and the registered attorneys associated with Snell & Wilmer's Customer Number 020322.

Please send all further correspondence to Snell & Wilmer L.L.P. at the above address.

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

*Stephen P. [Signature]*  
*Secretary*  
*April 14, 2000*



RECORDATION FORM COVER SHEET

PATENTS-ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) PETIT, Frederic

Execution Date  
Month Day Year  
03/10/2000

Name (line 2)

Second Party

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) AMERICAN EXPRESS

Name (line 2)

Address (line 1) AMERICAN EXPRESS TOWERS

Address (line 2) WORLD FINANCIAL CENTER

Address (line 3) NEW YORK NY / US 10285-4900  
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name MARLENE KLEIN

Address (line 1) SNELL & WILMER LLP

Address (line 2) 1920 MAIN STREET

Address (line 3) SUITE 1200

Address (line 4) IRVINE, CA 92614

FOR OFFICE USE ONLY

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(949) 253-2731

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Pages

Enter the total number of pages of the attached conveyance document  
including any attachments.

# 2

Application Number(s) or Patent Number(s)

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Patent Application Number(s)

Patent Number(s)


If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number  
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has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 19-2814

Authorization to charge additional fees:

Yes



No



Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Marlene Klein

Name of Person Signing

Marlene Klein

Signature

March 10, 2000

Date

FORM \*631749

**ASSIGNMENT****UTILITY PATENT**

**WHEREAS**, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented METHODS AND APPARATUS FOR AUTHENTICATING THE DOWNLOAD OF INFORMATION ONTO A SMART CARD for which application for Letters Patent of the United States has been executed on even date herewith;

**AND WHEREAS**, American Express TRS (hereinafter "ASSIGNEE"), a corporation organized and existing under and by virtue of the laws of the State of New York having its principal place of business and mailing address at American Express Tower, World Financial Center, New York, NY 10285-4900 is desirous of acquiring an interest therein;

**NOW, THEREFORE**, the undersigned ASSIGNOR hereby confirms that ASSIGNOR is a full time salaried employee of ASSIGNEE and that ASSIGNOR developed the subject matter of the invention on ASSIGNEE's time and at the expense of ASSIGNEE and within the scope of ASSIGNOR's duties as an employee of ASSIGNEE and thereby ASSIGNOR recognizes that the ASSIGNEE owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNOR memorializes that relationship in the form of this assignment and;

**THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

**AND HEREBY AGREES** to transfer a like interest to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, in and to any improvements and applications for patents based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers desired by ASSIGNEE, its successors, assigns and legal representatives, to perfect ASSIGNEE's full protection and title in and to the invention hereby transferred and to file any of the aforementioned applications.

C:\My Documents\Assignments\AmEx.doc

EXECUTED as of the date(s) written below by ASSIGNOR

INVENTOR'S NAME

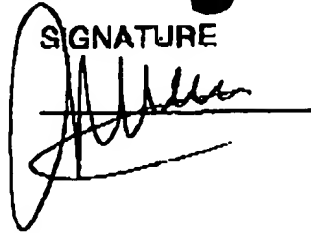
ADDRESS

SIGNATURE

DATE

PETIT, Frederic

8880 S. Sandia Hills Dr.  
#2180  
Sandy, UT 84094



3/10/2000

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